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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)
Joost Dick de Bruijn, Elisabeth Maria Fischer, and Pierre Jean François Layrolle	) Examiner:
Serial No.: 10/812,318	) Art Unit: 1645
Filed: March 29, 2004	) )
Title: INJECTABLE CALCIUM SALT BONE FILLER COMPRISING CELLS	) ) )

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### TRANSMITTAL OF PRIORITY DOCUMENTS

Applicants submit herewith one certified copy each of European Patent Application No. EP 01203746.1 and PCT Application No. PCT/NL02/00633, from which the above-referenced U.S. patent application claims priority. No fee is due. Please apply any other charges or any credits to Deposit Account No. 19-0733.

Respectfully submitted,

Dated: Jy 7, 2004

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# KONINKRIJK DER



# **NEDERLANDEN**



# Bureau voor de Industriële Eigendom

This is to declare that in the Netherlands on September 30, 2002 under No. PCT/NL02/00633, in the name of:

#### ISOTIS N.V.

in Bilthoven, in the Netherlands and

#### Joost Dick DE BRUIJN

in Amersfoort, in the Netherlands and

#### **Elisabeth Maria FISCHER**

in Hilversum, in the Netherlands and

### Pierre Jean François LAYROLLE

in Le Mans, in France

an international patent application was filed for:

"Injectable bone filler",

that a right of priority was claimed based on patent application 01203746.1 filed on October 02, 2001 in Europe and that the documents attached hereto correspond with the originally filed documents.

Rijswijk, April 26, 2004.

In the name of the president of the Netherlands Industrial Property Office

Mrs. D.L.M. Brouwer

Title: Injectable bone filler

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The invention relates to the field of reconstructive surgery, and in particular to the repair of osseous defects in a patient.

Successful closure of bone defects remains a major concern to reconstructive surgeons. While most often secondary to trauma, bone loss can also arise from congenital disorders, neoplasms, and infections. A wide variety of materials have been employed to repair osseous defects, including autogenous cells, allogeneic tissues, and alloplastic materials. This variety of approaches attests to the absence of an optimal method for restoring bone integrity, especially in the presence of a sizable defect.

Aside from the selection of a suitable material for repair of an osseous defect, the reconstructive surgeon is also faced with the problem of accessibility. In order to be able to insert a reconstructive material at the site of the defect, it is often necessary to make considerable wounds, causing trauma and discomfort to the patient, since typically the location of the osseous defect is inside the body in the bone structure of the patient.

Conventional constructs used to repair osseous defects have a rigid, inflexible structure, as they must be able to take over the supporting tasks of living bone tissue. Also, they are of a size dependent on the size of the osseous defect, as the complete defect should preferably be repaired in one surgical operation. Hence, the larger the defect that is in need of repair, the greater the opening of the wound must be in order to be able to insert the construct into the defect.

In view of these circumstances, there is a need for a material that is suitable to function in the repair of bone tissue which has a flexibility that allows its introduction into the patient's body through a small wound opening, but nevertheless has such mechanical properties that enables it to assist in the supporting function of bone tissue, and preferably is ultimately converted into actual bone tissue.

US patent 6,129,761 discloses an injectable hydrogel composition comprising a hydrogel based on hyaluronic acid, a synthetically modified alginate, or another crosslinkable polymer capable of forming a hydrogel, and dissociated cells, such as bone cells, muscle cells, fibroblasts or organ cells. The composition is specifically intended for cartilage or organ repair.

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The international patent application 95/21634 discloses a biomaterial for the resorption/substitution of supporting tissue, tooth substance, bony tissue or osteoarticular tissue. The composition is injectable and comprises an inorganic phase of calcium phosphate particles, and an aqueous solution of a cellulose-derived polymer. The calcium phosphate particles need to be either a mixture of tricalcium phosphate  $\beta$  and hydroxyapatite in a ratio of 20/80-70/30, or calcium-titanium-phosphate.

US patent 6,287,341 discloses a method for repairing an osseous defect wherein two calcium phosphates are mixed with a physiological liquid to provide a paste or putty which is applied to the osseous defect to harden at the implant site. The hardening occurs as a result of a reaction between the two calcium phosphates. It is mentioned that the paste or putty may comprise live cells, such as osteoblasts, osteoclasts, chondrocytes, osteocytes or fibroblasts. These cells, however, are not expected to be able to withstand the harsh conditions during the hardening of the paste or putty.

The international patent application 00/07639 discloses bone precursor compositions. A calcium cement is mentioned for being suitable for injection into a bone defect. The cement is based on monobasic calcium phosphate monohydrate and β-tricalcium phosphate, and may further comprise a biopolymer foam, collagen, an extracellular matrix component, a therapeutic agent, a biopolymer fibre, or live cells. After injection, the calcium cement require setting, which is likely to be harmful to any living cells present.

It is an objective of the present invention to provide a bone filler which can be used for tissue repair, which bone filler comprises cells, wherein

the risk of harm to the cells (e.g. due to setting of a calcium phosphate phase) is substantially avoided. The objective bone filler should have such properties that it can be easily processed and be injected into an osseous defect in a patient through the needle of a syringe under sterile conditions. It is further desired that the cells will not be substantially harmed by being injected through for instance a syringe. Other objects and advantages of the invention will become clear from the following description.

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In accordance with the invention, an injectable bone filler is provided, which bone filler comprises calcium salt particles, an organic binder having an affinity for the calcium salt, cells chosen from the group of stem cells, osteogenic/cells, and osteoprogenitor cells, and a pharmaceutically acceptable buffer.

A bone filler according to the invention is injectable, which means that it can be administered to the site of an osseous defect through injection. To this end, it is preferred that a syringe is employed. The bone filler has such flexibility that it can pass through the needle of a syringe. This has as a great advantage that only a very small wound needs to be made in order to introduce the filler at the desired location, which spares the patient a considerable discomfort and possible trauma.

Further, the presence of calcium salt particles in the bone filler allows for *de novo* bone formation *in vivo*. As a result, the filler is ultimately converted into autologous bone tissue and can assist in the supporting function of the bone in an early stage. Also, it was found that the calcium salt particles may function as a kind of seeding crystals *in vivo* on which additional calcium salt is deposited. Accordingly, the bone filler hardens and provides strength soon after implantation.

Surprisingly, it has further been found that living cells can be incorporated into the formulation of a bone filler according to the invention in such a manner that the bone filler can be injected without substantially negatively affecting the viability of the cells. In fact, the presence of the cells in

the bone filler have a significant positive impact on the rate at which bone formation occurs in vivo after administration of the bone filler (in)to an osseous defect.

As mentioned above, a bone filler according to the invention comprises calcium salt particles. Dependent on the location of an osseous defect that is to be repaired with the filler, the skilled person can suitably select a calcium salt. Possible choices are for instance monetite, brushite, (CaHPO<sub>4</sub>), calcium pyrophosphate, and calcium carbonate. Preferred is the use of calcium phosphate salts, in particular hydroxyapatite, β-calcium phosphate, and combinations thereof, such as in a mass ratio of 60/40. All of these materials occur naturally in living bone and are consequently readily accepted by a living organism. Particularly good results have been achieved using hydroxyapatite.

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An important parameter of the calcium salt particles was found to be their particle size. Preferably, the particles have a diameter of from 100 to  $600~\mu m$ , more preferably of from 200 to  $400~\mu m$ . As is also shown in the appended examples, a relationship was surprisingly found between the size of the calcium salt particles and rate and extent of bone formation induced in vivo.

Calcium salt particles of the desired size can conveniently be prepared by crushing calcium salt and sieving at the right mesh size. It is preferred that a sintered calcium salt is used, which is optionally water tumbled before sintering to obtain a dense material. It is preferred that dense and smooth calcium salt particles are employed, as this significantly reduces the risk of inflammation *in vivo*.

Another important substance present in a bone filler according to the invention is the organic binder. The binder should have sufficient affinity for the calcium salt to allow the formation of a homogeneous paste to form the injectable bone filler. Further, it will be understood that the binder should be of a material that is acceptable for introduction into a living organism. Preferably, the binder is biodegradable so that it disappears once the deposition of calcium salt and/or the bone formation has taken place to a sufficient extent to take over the function of living bone.

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It is furthermore desired that the binder contributes to the viscosity of the bone filler. It serves on the one hand to keep the calcium salt particles together as to form a paste of sufficient integrity, and on the other hand to impart sufficient flexibility to the bone filler to allow for its administration through the needle of a syringe.

Suitable examples of materials that can be used as the organic binder in a bone filler according to the invention include alginates, dextrans, cellulose, derivatives of cellulose, plasma (blood plasma), biogenic binders, hyaluronic acid, and combinations thereof. Specific examples are sodium alginate, sodium carboxymethyl cellulose, dextran, fibrin glue, and transglutaminase. It is preferred to use sodium alginate as it was found that this binders allows for a very convenient formulation of the bone filler.

Dependent on the nature of the binder chosen, it is preferably present in a bone filler according to the invention in an amount ranging from 0.5 to 10 wt.%, more preferably from 3 to 7 wt.%, based on the weight of the bone filler.

Suitable cells that may be incorporated are stem cells, osteogenic cells, and osteoprogenitor cells. It is preferred that the cells that are incorporated into the bone filler are obtained through a biopsy from the patient to which the bone filler is ultimately to be administered, *i.e.* that autologous cells are used.

In order to assist in the formulation of a bone filler according to the invention, it is usually preferred to use and incorporate a buffer. The buffer can also serve to ensure that the osmolarity of a bone filler according to the invention is similar to the osmolarity in the surroundings of the osseous defect into which the bone filler is to be injected, thereby avoiding an undesired impact of the filler on living tissue at the site of implantation. Although in

principle any liquid that is sufficiently pharmaceutically acceptable can be used, it is preferred that a saline solution essentially not causing osmotic pressure to cells (usually around 8 g/L) and comprising a biocompatible buffer (preferably at a pH around 7.4) is employed. Especially preferred is the use of phosphate buffer saline (PBS) as buffer.

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The amount of buffer used will depend on the viscosity of the chosen binder and the desired viscosity of the bone filler. Generally, the bone filler will be formulated to have a solids content of 30-70 wt.%, preferably 40-60 wt.%.

In order for a bone filler according to the invention to pass through a needle of a syringe without great difficulty, its Brookfield viscosity will generally lie between 30,000 and 100,000 centipoises.

In the preparation of a bone filler according to the invention, it has proven to be of advantage to first prepare a gel of the organic binder and the buffer. To this end, the binder is mixed with or dissolved in the buffer. Preferably, and depending on the binder, care is taken during mixing that the binder does not form agglomerates. To the prepared gel, the calcium salt particles can be added and they can be mixed to form a homogeneous paste, being the objective bone filler.

In a preferred embodiment, cells are seeded onto the calcium salt particles before they are added to the gel formed by the organic binder and the buffer. It is also possible to introduce the cells after the calcium salt particles, the organic binder and the buffer are brought together. In the latter embodiment, it is possible that the cells actually adhere to the calcium salt particles prior to injection of the filler into a patient, but it is also possible that they will be part of the injectable bone filler as a separate component. If the cells adhere to the calcium salt particles, it can be said that the particles are coated with cells.

The seeding of the cells to the calcium salt particles can be carried out in any conventional manner. Preferably, the cells are cultured for one or

more passages before the calcium salt particles carrying the cells are formulated together with the gel formed by the organic binder and buffer. The culturing is preferably performed under dynamic conditions, e.g. as described in European patent application 1 002 859, in order to retain sufficient fluidity.

During the culturing, proliferation and differentiation may occur, as desired. Often abundant extracellular matrix is produced which might cluster the cells together. Any suitable culture medium may be employed for the culturing, e.g. a culture medium as disclosed in WO 01/48147. In a preferred embodiment, this culture medium may be mixed to a desired extent with the buffer used in the formulation of a bone filler according to the invention.

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It is preferred that an injectable bone filler according to the invention further comprises an osteoinductive factor. This factor will typically be incorporated in an amount in the range of 0.01 to 3 wt.%, based on the weight of the bone filler. Examples of suitable osteoinductive factors include growth factors such as BMP.

It has further been found advantageous to incorporate an angiogenic factor into the bone filler. An angiogenic factor may be used both in a bone filler that does not comprise cells, and in a bone filler that does. An angiogenic factor will typically be incorporated in an amount in the range of 0.01 to 3 wt.%, based on the weight of the bone filler. Examples of suitable osteoinductive factors include growth factors such as FGF, VEGF, and PDGF.

It will be understood that the invention also encompasses a syringe having a needle and a reservoir wherein the reservoir contains a bone filler as described above. It will furthermore be understood that the syringe is to be kept under sterile conditions.

Of course, the invention further also encompasses the use of a bone filler as described above in the repair of osseous defects, wherein the bone filler is introduced into the defect by injection.

The invention will now be further elucidated by the following, non-restrictive examples.

## Example I

Fourth passage goat bone marrow cells were seeded onto densely sintered hydroxyapatite granules with a size of 212 to 300 micrometers, in a concentration of 200,000 cells per 200 milligram of hydroxyapatite. The cells were grown on the scaffold for 7 days in osteogenic culture medium comprising alpha-MEM, 15% foetal bovine serum, 0.2mM ascorbic acid-2-phosphate, 2mM L-glutamine, 10nM dexamethasone, 10mM beta-glycerophosphate and penicillin/streptomycin. The cell-coated granulate was subsequently mixed with a 3% alginate gel in PBS (sodium salt alginic acid, high viscosity, Sigma A7128) in a ratio of 58% alginate gel and 42% cell-coated hydroxyapatite (w/w). This paste was then subcutaneously implanted in nude mice (HsdCP:NMRInu, Harlan). After 4 weeks, the samples were retrieved and examined histologically.

A comparative study was performed wherein a paste was implanted, which was obtained by combining the three components hydroxyapatite granules, a cell suspension and an alginate gel (as described above) just prior to implantation, after which histology was performed 4 weeks post-operatively.

With both experiments, histological evaluation revealed that a fibrous tissue surrounded the implanted material paste. No signs of an inflammatory reaction could be observed, nor could histological differences be observed between implantation of the paste in mice or rats. At the periphery of the implant, early stages of tissue ingrowth and blood vessel formation were seen. Figure 1 shows the tissue reaction around hydroxyapatite granulate mixed with alginate gel after 4 weeks of implantation in Fischer rats. Note the fibrous tissue encapsulation and the absence of an inflammatory reaction.

From this study, it can be concluded that hydroxyapatite granulate, coated or combined with bone marrow cells and mixed with an injectable carrier such as alginate, results in a biocompatible injectable bone filler.

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### Example II

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PBS and algenic acid were mixed with a Braun multimixer or a blender. It is not preferred to do this with a normal mixer, because the alginate may agglomerate. Mix for 30 seconds with blender then 5 seconds by hand to prevent the alginate agglomerates sticking at the wall, then mix for another 30 sec. Mixing will cause a lot of air bubbles in the resulting gel. It is possible to suck these out of the gel with a vacuum furnace/pump twice for 5 seconds. Because of this, some water will vaporise. The amount of lost is  $\pm 0.16\%$  (this depends of course on the surface where the vaporization can take place).

In the gel thus obtained, hydroxyapatite particles (HA) were introduced through mixing. Different amounts of hydroxyapatite particles, as well as different sizes of hydroxyapatite apatite were studied and evaluated for injectability.

The injectability tests were performed with a Geniaplex syringe of 50 ml from the company Genia. If not mentioned different the outlet is a threated luer hub (code 109302, Genia). The syringe was fixed and the piston was connected with the loadcell of the tensile bench. The speed of testing is 75 mm/min. This speed was chosen, because it is more or less a normal speed of manual-injection. Every result is the average of a triple test. The Max (average) is the average of the 5 maximum tensile force points.

The following tables show the results achieved.

Table I: amount of HA (particle size 212-300  $\mu m$ , dense) v force for injection through needle of 2.2 mm diameter

Amount of HA in gel (wt.%)	Force needed for injection (N)
35	15.4
43	21.12
48	57.3

Table II: particle size of HA (in identical amounts) v force for injection through needle of 2.2 mm diameter

Particle size of HA (μm)	Surface of particles	Force needed for injection (N)
212-300	Rough	70.5
212-300	Dense/smooth	29.8
300-500	Dense/smooth	28.1

Table III: length of needle (diameter 2.2 mm) v force of injection

Length of needle (cm)	Force needed for injection (N)
0	78.8
0.5	85.4
1	89.8
2	92
3.5	107.2
5	139.4

#### **Claims**

- 1. Injectable bone filler comprising calcium salt particles, an organic binder having an affinity for calcium salt, cells chosen from the group of stem cells, osteogenic cells, and osteoprogenitor cells, and a pharmaceutically acceptable buffer.
- Bone filler according to claim 1, wherein the particles are of a calcium salt are chosen from the group of calcium phosphates, monetite, brushite, (CaHPO<sub>4</sub>), calcium pyrophosphate, calcium carbonate, and combinations thereof.
  - 3. Bone filler according to claim 2, wherein the calcium salt is hydroxyapatite, β-calcium phosphate, and combinations thereof.

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- 4. Bone filler according to claim 3, wherein the particles have a diameter in the range of 100 to 600  $\mu m$ , preferably 200 to 400  $\mu m$ .
- 5. Bone filler according to any of the preceding claims, wherein the binder is chosen from the group of alginates, dextrans, cellulose, cellulose derivates, plasma, biogenic binders, hyaluronic acid, and combinations thereof.
- 6. Bone filler according to claim 5, wherein the binder is chosen from the group of hyaluronic acid, sodium alginate, sodium carboxymethyl cellulose, dextran, fibrin glue, and transglutaminase.
- 7. Bone filler according to claim 6, wherein the binder is sodium20 alginate.
  - 8. Bone filler according to any of the preceding claims, wherein the binder is present in an amount of from 0.5 to 10 wt.%, preferably from 3 to 7 wt.%, based on the weight of the bone filler.
- 9. Bone filler according to any of the preceding claims, wherein the buffer is phosphate buffer saline (PBS).
  - 10. Bone filler according to any of the preceding claims having a solids content of 30-70, preferably 40-60 wt.%.

- 11. Bone filler according to any of the preceding claims having a viscosity between 30,000 and 100,000 centipoises.
- 12. Bone filler according to any of the preceding claims further comprising an angiogenic factor.
- 5 13. Bone filler according to any of the preceding claims, wherein the cells are present in seeded form onto the calcium salt particles.
  - 14. Bone filler according to any of the preceding claims further comprising an osteoinductive factor.
- 15. Syringe comprising a needle and a reservoir, which reservoir10 comprises an injectable bone filler according to any of the preceding claims.
  - 16. Syringe according to claim 15, wherein the needle has a length between 5 and 20 mm, and a diameter between 2 and 5 mm.

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- 17. Method for preparing an injectable bone filler according to any of the claims 1-14, comprising mixing the binder and the buffer to prepare a gel, adding the calcium salt particles to the gel, and homogenizing to obtain the bone filler.
- Method according to claim 17, in which cells are seeded onto the calcium salt particles before they are added to the gel, or wherein cells are introduced after combining calcium salt particles and the gel, the cells being chosen from the group of stem cells, osteogenic cells, and osteoprogenitor cells.
- 19. Method for repairing an osseous defect comprising injecting an injectable bone filler according to any of the claims 1-14 into the defect.

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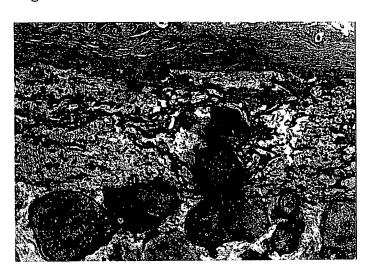
Title:

Injectable bone filler

### **Abstract**

The invention relates to a bone filler comprising calcium salt particles, an organic binder having an affinity for calcium phosphate, cells chosen from the group of stem cells, osteogenic cells, and osteoprogenitor cells, and a pharmaceutically acceptable buffer. A great advantage of a bone filler according to the invention is that it is an injectable formulation that allows for its introduction in an osseous defect through the needle of a syringe.

Figure 1



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